

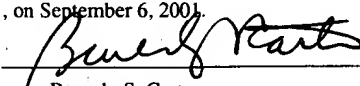
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#3

PATENT  
Docket No. 513612000200

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on September 6, 2001.

  
Beverly S. Carter

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Dana Howard JONES

Serial No.: 09/932,714

Filing Date: August 17, 2001

For: METHOD AND SYSTEM FOR  
PAYMENT OF GOODS AND/OR  
SERVICES BY THE SPONSOR OF AN  
INTERPOSED COMMUNICATION ON  
BEHALF OF A CONSUMER

Examiner: Unknown

Group Art Unit: Unknown

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \* is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.


The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this

document to **Deposit Account No. 03-1952** referencing 513612000200. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

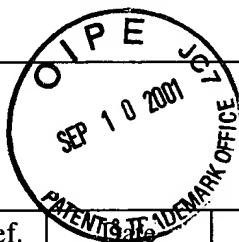
Dated: September 6, 2001

Respectfully submitted,

By:   
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<b>Form PTO-1449</b> <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	Docket Number 513612000200	Application Number 09/932,714
	Applicant Dana Howard Jones	
	Filing Date: August 17, 2001	Group Art Unit: Unknown
	Mailing Date: September 6, 2001	



## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/29/98	5,855,008	A. N. Goldhaber et al.			
	2.	01/02/01	6,169,542	D. Hooks et al.			
	3.	07/04/00	6,084,628	F. Sawyer			
	4.	11/17/98	5,838,314	D. Neel et al.			
	5.	08/14/01	6,275,854	M. Himmel et al.			
	6.	04/10/01	6,216,129	C. Eldering			
	7.	04/10/01	6,216,112	W. Fuller et al.			
	8.	01/30/01	6,182,050	C. Ballard			
	9.	01/23/01	6,178,446	I. Gerszberg et al.			
	10.	12/12/00	6,161,142	R. Wolfe et al.			
	11.	12/12/00	6,161,127	R. Cezar et al.			
	12.	10/31/00	6,141,010	M. Hoyle			
	13.	10/03/00	6,128,651	R. Cezar			
	14.	09/12/00	6,119,098	P. Guyot et al.			
	15.	08/15/00	6,102,406	S. Miles et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER: (examiner)

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.